

The Cauchy problem of pp-waves

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with Carlos S. Shahbazi

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Introduction

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*Given some initial data on an appropriate spacelike hypersurface, does a **unique Ricci flat time-development** exist?*

Yes!

[Choquet-Bruhat '52; Choquet-Bruhat, Geroch '69].

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The GR initial value problem is well-defined on **globally hyperbolic manifolds**¹. These are spacetimes endowed with a spacelike hypersurface which every non-spacelike curve intersects exactly once, called **Cauchy surface**.

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By a celebrated result of Bernal and Sánchez, [Bernal, Sánchez '04], the **isometry type** of a globally hyperbolic four-manifold (M, g) with Cauchy surface Σ is given by:

$$(M, g) = (\mathcal{I} \times \Sigma, -\beta_t^2 dt^2 + h_t),$$

where $\mathcal{I} \subset \mathbb{R}$, $t \in \mathcal{I}$ is identified with time, $\{h_t\}_{t \in \mathcal{I}}$ is a family of Riemannian metrics on Σ and $\{\beta_t\}_{t \in \mathbb{R}}$ is a family of positive functions on Σ .

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At the initial time $t = 0$, define $h = h_t|_{t=0}$ and:

$$\Theta = -\frac{1}{2\beta_t} \partial_t h_t \Big|_{t_0}.$$

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hold. The first equation is called **Hamiltonian constraint** and the second, **momentum constraint**.

If (Σ, h, Θ) is a triple satisfying the GR constraint equations \rightarrow unique Ricci flat maximal Cauchy development (M, g) such that the induced metric and the shape operator on Σ are h and Θ , respectively [**Choquet-Bruhat '52; Choquet-Bruhat, Geroch '69**].

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- 2 Parallel spinors appear naturally within the study of **supersymmetric backgrounds** in Supergravity and String Theory.
- 3 These spacetimes correspond to **pp-wave solutions**, characterized by admitting a parallel one-form u and $\text{Ric}^g = fu \otimes u$. Consequently, studying the **evolution problem** of a **real parallel spinor** is **equivalent** to exploring the **Cauchy problem of pp-waves**.

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- 2 We study the parallel spinor flow equations, examining the **constraint equations** they pose.
- 3 We show that the **Ricci-flat flow** and the **parallel spinor flow coincide on common initial data**, which in turn provides an **initial data characterization** of Ricci flat **pp-waves**.
- 4 We define the notion of **left-invariant parallel spinor flows** on simply connected Lie groups, showing an explicit example.

Real parallel spinors

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To this aim, it is extremely convenient to use the formalism of **parabolic pairs**, according to which a real parallel spinor on four Lorentzian dimensions is equivalent to an algebraically-constrained pair of one-forms satisfying some first-order PDEs. [\[Cortés, Lazariu, Shahbazi '19\]](#).

Parallel spinor flows

Assume from now on that (M, g) is globally hyperbolic. As before, write:

$$g = -\beta_t^2 dt^2 + h_t, \quad t \in \mathcal{I}.$$

Let $\mathfrak{e}^t = (e_u^t, e_j^t, e_n^t)$ be a **family of orthonormal coframes** on Σ for $\{h_t\}_{t \in \mathcal{I}}$.

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(M, g) **admits a real parallel spinor** if and only if:

$$\partial_t e_a^t + d\beta_t(e_a^t)e_u^t + \beta_t \Theta_t(e_a^t) = \delta_{au} d\beta_t, \quad \partial_t(\Theta_t(e_u^t)) + d(d\beta_t(e_u^t)) = 0$$

$$d\mathfrak{e}^t = \Theta_t(\mathfrak{e}^t) \wedge e_u^t, \quad [\Theta_t(e_u^t)] = 0 \in H^1(\Sigma, \mathbb{R}),$$

where $a = u, l, n$, $h_t = e_u^t \otimes e_u^t + e_l^t \otimes e_l^t + e_n^t \otimes e_n^t$ and $\Theta_t = -\frac{1}{2\beta_t} \partial_t h_t$.

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These are the **parallel spinor flow equations**. Their solutions are families $\{\beta_t, \mathbf{e}^t\}_{t \in \mathcal{I}}$ of functions and coframes on Σ called **parallel spinor flows**.

Ricci curvature of (M, g) with a real parallel spinor

Proposition

Let $\{\beta_t, e^t\}_{t \in I}$ be a parallel spinor flow. The Ricci curvature of (M, g) reads:

$$\text{Ric}^g = \frac{1}{2} \mathcal{H}_t e^{-2f_t} u \otimes u, \quad u = e^{f_t} (\beta_t dt + e_u^t)$$

where u is the parallel one-form associated to a real parallel spinor, $\mathcal{H}_t = \text{Scal}^{h_t} - |\Theta_t|_{h_t}^2 + \text{Tr}_{h_t}(\Theta_t)^2$ and $\{f_t\}_{t \in I}$ is a family of functions satisfying $df_t = -\Theta_t(e_u^t)$ and $\partial_t f_t = d\beta_t(e_u^t)$.

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It is well known that Ric^g for a Lorentzian manifold admitting a real parallel spinor takes the form $\text{Ric}^g = f u \otimes u$ for some $f \in C^\infty(M)$. To the best of my knowledge, this is the **first characterization** of such f in the case of globally hyperbolic manifolds.

Parallel spinor flows

Let us analyze the parallel spinor flow equations:

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- The last equation of the parallel spinor flow is a **cohomological condition**, equivalent to $d(\Theta_t(e_u^t)) = 0$ for simply connected Σ . However, it may restrict the discrete quotients to which a given solution on the universal cover descends.

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- The **first two** equations are clearly **evolution equations**, since they have explicit time derivatives. The **second two equations** only contain derivatives along Σ and, evaluated at $t = 0$, **yield constraint equations**.

Constraint equations. Parallel Cauchy differential system

By the previous analysis and since the problem of a real parallel spinor is well-posed [\[Lischewski '15; Leistner, Lischewski '19\]](#):

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The previous system of equations on Σ is called the **parallel Cauchy differential system**. Its solutions (\mathbf{e}, Θ) are called **parallel Cauchy pairs**.

Parallel Cauchy differential system and GR constraint equations

Parallel Cauchy differential system

$$\begin{aligned}d\mathbf{e} &= \Theta(\mathbf{e}) \wedge e_u, \\ [\Theta(e_u)] &= 0,\end{aligned}$$

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Since from (\mathfrak{e}, Θ) we may obtain an initial GR data set $(h_{\mathfrak{e}}, \Theta)$, we may wonder: Is it possible to have **parallel Cauchy pairs** which satisfy **additionally** the **GR constraint equations**?

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Answer: Yes! In fact, a **parallel Cauchy pair** (\mathfrak{e}, Θ) fulfills the **GR constraint equations** if and only if the **Hamiltonian constraint is satisfied**, and explicit examples may be constructed.

Parallel Cauchy differential system and GR constraint equations

Parallel Cauchy differential system

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Since from (ϵ, Θ) we may obtain an initial GR data set (h_ϵ, Θ) , we may wonder: Is it possible to have **parallel Cauchy pairs** which satisfy **additionally** the **GR constraint equations**?

Answer: Yes! In fact, a **parallel Cauchy pair** (ϵ, Θ) fulfills the **GR constraint equations** if and only if the **Hamiltonian constraint is satisfied**, and explicit examples may be constructed. This naturally prompts us the following question:

Do the **parallel spinor** and the **Einstein flows coincide** on **common initial data**?

The parallel spinor and the Einstein flows

Theorem

*The **parallel spinor flow** preserves *the vacuum momentum and Hamiltonian constraints.**

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Corollary

- 1 A **solution** (Σ, h, Θ) to the **vacuum GR constraint equations** produces a Ricci flat Lorentzian development carrying a **real parallel spinor** if and only if there exists a global orthonormal coframe ϵ such that (ϵ, Θ) is a **parallel Cauchy pair**.

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- 2 (M, g) with a **real parallel spinor** is **Ricci flat** if and only if there exists an adapted Cauchy surface $\Sigma \subset M$ whose **Hamiltonian constraint vanishes**.

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This poses the **intriguing possibility** of using these **first-order spinorial flows** to construct special GR solutions, whose equations are of second order in derivatives.

Left-invariant parallel spinor flows

Let G be a connected and simply connected 3-dimensional Lie group. A **parallel spinor flow** $\{\beta_t, \mathfrak{e}^t\}_{t \in \mathcal{I}}$ on G is **left-invariant** if both β_t and \mathfrak{e}^t are left-invariant for every $t \in \mathcal{I}$. This implies h_t is left-invariant metric for every t and $\beta_t \in C^\infty(\mathcal{I})$ is constant for each t .

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The subsequent **left-invariant parallel spinor flow equations** are:

$$\partial_t \mathfrak{e}^t + \beta_t \Theta^t(\mathfrak{e}^t) = 0, \quad d\mathfrak{e}^t = \Theta^t(\mathfrak{e}^t) \wedge e_u^t, \quad \partial_t(\Theta^t(e_u^t)) = 0, \quad d\Theta^t(e_u^t) = 0,$$

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where:

$$\Theta^t = \Theta_{ab}^t e_a^t \otimes e_b^t, \quad e_a^t = \mathcal{U}_{ab}^t e_b, \quad \Theta_{ab}^t, \mathcal{U}_{ab}^t \in C^\infty(\mathcal{I}).$$

Left-invariant parallel spinor flows

Let G be a connected and simply connected 3-dimensional Lie group. A **parallel spinor flow** $\{\beta_t, \mathfrak{e}^t\}_{t \in \mathcal{I}}$ on G is **left-invariant** if both β_t and \mathfrak{e}^t are left-invariant for every $t \in \mathcal{I}$. This implies h_t is left-invariant metric for every t and $\beta_t \in C^\infty(\mathcal{I})$ is constant for each t .

The subsequent **left-invariant parallel spinor flow equations** are:

$$\partial_t \mathfrak{e}^t + \beta_t \Theta^t(\mathfrak{e}^t) = 0, \quad d\mathfrak{e}^t = \Theta^t(\mathfrak{e}^t) \wedge e_u^t, \quad \partial_t(\Theta^t(e_u^t)) = 0, \quad d\Theta^t(e_u^t) = 0,$$

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We have been able to **classify** all left-invariant parallel spinor flows. For the sake of concreteness, we just show here an explicit example of solution.

Example of left-invariant parallel spinor flow

Let (G, h) be a connected and simply connected 3-dimensional Riemannian Lie group admitting an orthonormal left-invariant coframe (e_u, e_l, e_n) such that:

$$de = \Theta(\mathbf{e}) \wedge e_u, \quad \Theta = \Theta_{uu} e_u \otimes e_u + \Theta_{ll} e_l \otimes e_l, \quad \Theta_{uu}, \Theta_{ll} \in \mathbb{R} \setminus 0.$$

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Then (e, Θ) is clearly a **left-invariant parallel Cauchy pair**. Write:

$$e_u^t = (1 - \Theta_{uu} \mathcal{B}_t) e_u, \quad e_l^t = (1 - \Theta_{uu} \mathcal{B}_t)^{\Theta_{ll}/\Theta_{uu}} e_l, \quad e_n^t = e_n, \quad \mathcal{B}_t = \int_0^t \beta_\sigma d\sigma.$$

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$$\text{Ric}^g = \frac{\mathcal{H}}{2(1 - \Theta_{uu} \mathcal{B}_t)^2} (\beta_t dt + e_u^t) \otimes (\beta_t dt + e_u^t),$$

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- ① The **Cauchy problem of pp-waves** (equivalently, of real parallel spinors) can be rephrased in terms of a system of **partial differential equations** of **first order** in time for a family of orthonormal coframes and functions on the Cauchy surface.
- ② The **parallel spinor flow** and the **vacuum Einstein flow coincide** on common initial data.

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太感谢了！

Thank you so much!